- FORESEE -

Future proofing strategies FOr RESilient transport networks against Extreme Events



- Deliverable 8.7-

Report on the contribution to Standardization

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1 INTRODUCTION

FORESEE is a H2020 project focused on the development of a reliable and easily implementable toolkit for providing resilience assessment schemes, considering traffic disruption due to flooding, landslide structural damage or other events related with transport infrastructures. It considers both natural and man-made events. This toolkit should help transport managers to monetize resilience for investment decisions, to develop a performance-based risk assessment framework applied to transport infrastructures.

FORESEE project received funding from the European Union's Horizon 2020 Research and Innovation Programme under Grant Agreement number 769373. More information and its results can be found in this:website.

This report is focused on the standardisation related with FORESEE. The mail goal set by FORESEE partners was to promote and disseminate the results of the project using the European and International Standardisation system, to ensure the acceptance and utilisation by the market of the developed solutions.

In this project, the standardisation activities are coordinated by the <u>European Union Road Federation (ERF)</u>, with the support of the Spanish Association for Standardization (in Spanish, <u>Asociación Española de Normalización</u>, UNE).

The incorporation of part of the results of FORESEE in current of future documents issued by CEN was a primary objective, to facilitate its use by industry public bodies. A CEN document should promote the use of the methodologies developed in public and private procurement, industry internal processes and, in some cases, can be cited in European, national or regional regulations.

Standardisation documents are, by definition, voluntary, but it is very common that, for certain uses, public administration enforcer their application. An example can be the harmonised standards, used for CE marking purposes, some test standards or the Eurocodes. Thus, including the result in an existing standardisation document (EN or ISO standard, a Technical Specification (TS) or Report (TR) or a Workshop Agreement (CWA)) can have a very important impact in the market.

In addition, the standardisation system constitutes a source of information and provides peer-review from experts from different countries and industries. Thus, the feedback from members of ISO and CEN committees is considered very valuable.





2 EXECUTIVE SUMMARY

The European Union Road Federation (ERF) coordinated the standardisation activities within the FORESEE project, with the support of the Spanish Association for Standardization (UNE), member of the European Committee for Standardization, CEN.

The dissemination of the results of FORESEE in the European and International environment was a primary objective, to make available the information generated by the project to industry and public administrations, to promote its use in public and private procurement and to constitute the basis for future developments. The standardisation system was also used as a source of information, as feedback and peer-review.

To achieve both the dissemination of the results and the provision of input from experts within the standardisation system, ERF and UNE made presentations and send information in several standardisation bodies (see clause 4). The planification was established in Deliverable 8.2 Standardization Landscape Report (sub-task 8.4.1).

The main standardisation result is <u>CWA 17819:2021</u> <u>Guidelines</u> <u>for the assessment of resilience of transport infrastructure to potentially disruptive events</u>, a document available for free download in CEN website, based on the results of FORESEE. This development is explained in clause 5.

Considering the result (a standardisation document like the CWA 17819), FORESEE achieve a goal beyond the prestandardisation activities, which can be considered a success of the project.

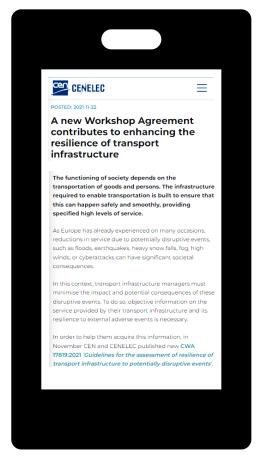


Figure 1. CEN News of the publication





3 ABOUT THE STANDARDISATION SYSTEM

3.1. INTRODUCTION

The standardisation system is based, both at International (ISO and IEC) and European (CEN/CENELEC and ETSI) level, on a <u>national delegation principle</u>. This means that the National Standardisation Body (NSB) of each country is a member of the supra-national standardisation organizations and defines its position based on the input from industry, research bodies, NGO, public administrations, etc. The standars are thus approved by a consensus based process between NSB. In Spain, the NSB is UNE (Spanish Association for Standardisation).

The use of the standardisation system as a tool to provide feedback and peer-review, during the early stages of the project, was considered very important for FORESEE. UNE participated in this task since the start of the project.



Figure 2. Tweet covering FORESEE kick-off meeting

The standardisation system, both at International and European level, the structure of the standardisation bodies and the relevant standards and projects identified, are explained in <u>D8.2 Standardization Landscape</u> <u>Report</u> and will not be <u>repeated</u> here; please check it for further information.

To have an idea of the dissemination potential of the standardisation system, CEN has 34 national members, and the national members of the mirror committees receive the information and documents. <u>CEN members</u> are the following (with links):

- 1. Austria Austrian Standards International (ASI)
- 2. Belgium Bureau de Normalisation/Bureau voor Normalisatie (NBN)
- 3. Bulgaria Bulgarian Institute for Standardization (BDS)
- 4. Croatia Croatian Standards Institute (HZN)





- 5. Cyprus Cyprus Organization for Standardisation (CYS)
- 6. Czech Republic Czech Office for Standards, Metrology and Testing (UNMZ)
- 7. Denmark Dansk Standard (DS)
- 8. Estonia Estonian Centre for Standardisation and Accreditation (EVS)
- 9. Finland Suomen Standardisoimisliitto r.y. (SFS)
- 10. France Association Française de Normalisation (AFNOR)
- 11. Germany Deutsches Institut für Normung (DIN)
- 12. Greece National Quality Infrastructure System (NQIS/ELOT)
- 13. Hungary Hungarian Standards Institution (MSZT)
- 14. Iceland Icelandic Standards (IST)
- 15. Ireland National Standards Authority of Ireland (NSAI)
- 16. <u>Italy Ente Italiano di Normazione (UNI)</u>
- 17. Latvia Latvian Standard Ltd. (LVS)
- 18. Lithuania Lithuanian Standards Board (LST)
- 19. <u>Luxembourg Organisme Luxembourgeois de Normalisation (ILNAS)</u>
- 20. Malta The Malta Competition and Consumer Affairs Authority (MCCAA)
- 21. Netherlands Nederlands Normalisatie-instituut (NEN)
- 22. Norway Standards Norway (SN)
- 23. Poland Polish Committee for Standardization (PKN)
- 24. Portugal Instituto Português da Qualidade (IPQ)
- 25. <u>Republic of North Macedonia Standardization Institute of the Republic of North Macedonia</u> (ISRSM)
- 26. Romania Romanian Standards Association (ASRO)
- 27. Serbia Institute for Standardization of Serbia (ISS)
- 28. Slovakia Slovak Office of Standards Metrology and Testing (UNMS SR)
- 29. Slovenia Slovenian Institute for Standardization (SIST)
- 30. Spain Asociación Española de Normalización (UNE)
- 31. Sweden Swedish Institute for Standards SIS (SIS)
- 32. Switzerland Schweizerische Normen-Vereinigung (SNV)
- 33. <u>Turkey Turkish Standards Institution (TSE)</u>
- 34. <u>United Kingdom British Standards Institution (BSI)</u>





All the national standardisation organizations listed above received information about FORESEE and the CWA 17819, via CEN.

In addition, the international organization (ISO) has 167 members (the list is provided in this link). Many of their national standardisation organizations received information about FORESEE and CWA 17819 via some Technical Committees (see Clauses 0 and 0).

The main options studied by ERF and UNE for the participation in the standardisation system are explained in the subclauses below.

3.2. OPTIONS INSIDE EXISTING TECHNICAL BODIES

- A. **Participation** of FORESEE partners as experts or guests in current standardization working groups, or stablishment of a Project Liaison with the Technical Committee for the participation as consortium in their works: This option is most suitable when new EN standards or TS (technical specifications) or TR (technical reports) start their development and the project timeframe allows for a participation of several years. However, the timespan of the document development can be different to that of the project and the timing for the availability of suitable project results can be not the most adequate. As explained in clause 4 below, members of FORESEE participated in WG meetings, to explain the results of the project.
- B. **Proposal (and leadership)** of **modifications** to existing EN standards or TS (technical specifications) or TR (technical reports): Suitable when some existing standard(s) can be improved with contributions from the project or need to be modified, for instance, to remove an existing technical barrier. As in the previous option, the timing of this action can likely range after the project end. Thus, if necessary, it usually will depend on the commercial interest of the relevant partners. For FORESEE, it was not considered feasible due to time restrictions.
- C. **Proposal (and leadership)** of the elaboration of **new** standards: This option is most adequate when there is a Technical Committee covering the scope of the project contribution, especially when this Technical Committee does not allow the elaboration of faster documents outside its structure (like the Workshop Agreement explained below). Usually, the full development of these documents takes a time which is not compatible with the normal project duration, so it is not a suitable option. This option (C) is more time consuming than options A and B and was not considered feasible due to time restrictions.
- D. <u>Submission of proposals for **future** consideration in standardization works</u>: This option is only recommended when none of the other options can be used, as there is no guarantee that this information will efectively be used in the future to take part of a new standard, and could be finally disregarded. However, it if linked with a CWA (see below), it can be an interesting option, as a CEN document is issued (the draft) and existing Technical Bodies can decide to "upgrade" the document to EN standard in the future, using the CWA as a draft.

The documents cited above have the general characteristics:

- EN standard: the process, *in theory* takes between 2 and 3 years. *In practice*, it can need more time, as the defined methodology requieres consensus between CEN members and the implications are *severe*, as a EN standard requires that all NSB shall supersede their national standards *in conflict* with the EN. The results of a research project are usually *beyond* the state of the art of industry and will take some years to became a EN standard.
- Technical Specification (TS): the process usually takes between 15 and 21 months, since activation.
 I theory, it is possible to deliver it but, as the results of the project usually need around 18 month to be *mature enough*, it is complicated. In addition, this documents requires a high level of consensus in an established standardisation body.
- Technical Report (TR): As in the case of TS, requires certain level of consensus in a standardisation body, but the process is faster and for some projects might be feasible.





3.3. OPTIONS OUTSIDE EXISTING TECHNICAL COMMITTEES

The Workshop Agreement: this option is the most widely used in research and innovation projects, and is especially designed for them, among other uses like quickly evolving markets (e.g. information and communication technologies).

It implies the constitution of a new working group (Workshop) which works indepently from existing Technical Committees, but coordinated with them. The document is approved directly by the members of the Workshop. Relevant TC are informed and any organization can participate.

The resulting document, the Workshop Agreements, are published by the Standards Organizations, can be <u>freely available to the public</u> with no cost and the only strong requirement is that their content cannot conflict with existing standards.

This was the selected option for the dissemination of the results of FORESEE.

3.4. CEN/CENELEC WORKSHOP AGREEMENT

The process and criteria to to develop a CEN/CENELEC Workshop Agreement (CWA) is defined in CEN-CENELEC Guide 29 and summarised below.

- i. To develop a CWA, any organization can contact a CEN Member. With the assistance of the CEN Member, the Proposer of a CWA prepares the *Workshop Proposal Form*, if possible with a *Project Plan* including a tentative calendar and the proposed content for the CWA.
- ii. The information above is sent to CEN Technical Board and to relevant CEN and/or CENELEC Technical Committees (TCs), if identified in the *Workshop Proposal Form*.
- iii. CEN/CENELEC Management Centre (CCMC) announces the proposal for a new CEN Workshop (CEN/WS) on the its website at least 30 days before the kick-off meeting, including the draft Project Plan, the Agenda and Venue, the proposed Chairperson and the proposed Secretariat, with information on how to submit comments to the Workshop Draft Project Plan.
- iv. Kick-off meeting: The CEN Member and CCMC explains how the CEN/WS will operate and the Workshop Project Plan is revised and approved. The proposed Chairman should also be approved by participants.
- v. Draft and meetings: The Secretariat will make available the drafts, the agenda and minutes of the meetings and any other relevant document. To ensure transparency the documents of are uploaded on *CEN Documents* (a electronic platform in which the documents generated are made available to experts). The WS will meet until consensus on a draft is achieved.
- vi. Public consultation (optional): If foreseen in the Final Workshop Project Plan, and in any case if the draft CWA covers safety aspects, an open commenting phase (minimum 30 days and 60 days if it covers safety aspects) is launched. CCMC will make the draft CWA available for external comments on the CEN Website and the CEN-CENELEC Website. CCMC will also notify the CEN Members. The comments are considered by the CEN/WS participants.
- vii. If agreement is reached amongst the WS participants on the final text of the CWA, the Secretariat submits the approved CWA to CCMC, to publish the document.
- viii. Revision of the validity of the CWA: Once published, a TC can decide to take on the responsibility for the maintenance of the CWA. In this case, the TC Secretariat will conduct the consultation for the review of the CWA after 3 years. After this period, the CWA can be confirmed for another 3 years, revised, withdrawn from the market. CWAs have a maximum lifetime of 6 years.

At any point in its lifecycle, a CWA can be transformed into another standardization deliverable (e.g. a TS or an EN), at the initiative of CEN Members or of a CEN and/or CENELEC Technical Body.





4. DISSEMINATION WITHIN THE STANDARDISATION SYSTEM

4.1.GENERAL

D8.2 listed several ISO and CEN Technical Committies. The following were identified as more relevant for FORESEE:

- ISO/TC 59 Buildings and civil engineering works and its WG 4 for Resilience
- ISO/TC 59/SC 17 Sustainability in buildings and civil engineering works and its WG 5 for Civil Engineering works
- ISO/TC 207/SC 7 Greenhouse gas management and related activities and its TG 2 for Adaptation to climate change
- ISO/TC 251 Asset management
- ISO/TC 262 Risk management
- ISO/TC 292 Security and resilience
- CEN/TC 350 Sustainability of construction works, and its WG 6 for Civil Engineering works
- CEN/TC 391: Societal and Citizen Security

4.2.REQUEST FOR FEEDBACK

During the second quarter of 2020, a request for feedback was sent to several Technical Committees like CEN/TC 350 and its WG 6, ISO/TC 59 and its WG 4, ISO/TC 59/SC 17 and its WG 5, ISO/TC 207, ISO/TC 262 or CEN/TC 226.

In some cases, the reply was circulated to all members. The examples below show the dissemination within sent to CEN/TC 350 "Sustainability of construction works".



Figure 3. Example of request of information sent to CEN Committees (first page)

The example below shows the dissemination and call for feedback within ISO/TC 59 "Buildings and civil engineering works".







Figure 4. Example of request of information sent to ISO Committees (first page)

A presentation about FORESEE was included in these emails. The figure below contains the first page of the PowerPoint.



Figure 5. Presentation of FORESEE circulated to ISO and CEN Committees





To provide a better idea of the impact of this request, this ISO/TC 59 has **82 members**:

- 29 as "participants": France, United States, Austria, United Kingdom, Côte d'Ivoire, Democratic People's Republic of Korea, Germany, Malaysia, Russian Federation, Islamic Republic of Iran, Japan, Republic of Korea, Kazakhstan, Mauritius, Belgium, Cuba, Netherlands, Australia, South Africa, China, Saudi Arabia, Finland, Sweden, Norway, Nigeria, Spain, Italy, Uruguay, Czech Republic; and
- 53 as "observers": Niger, Cameroon, Romania, Azerbaijan, Bulgaria, Belarus, India, Barbados, Philippines, Bhutan, Indonesia, Cyprus, Mexico, Fiji, Denmark, Ukraine, Egypt, Ethiopia, Estonia, Bolivia, Colombia, Chile, Tunisia, Costa Rica, Portugal, Argentina, Republic of Moldova, Serbia, Iceland, Hong Kong, Kenya, Lebanon, Mongolia, Malta, Hungary, Greece, Ireland, New Zealand, Poland, Pakistan, Armenia, Canada, Israel, Slovenia, Sri Lanka, Switzerland, Singapore, Viet Nam, United Republic of Tanzania, Thailand, Turkey, Trinidad and Tobago, Slovakia.

This TC also has 9 active Subcommittees (like SC 17 dealing with sustainability) and a WG covering resilience; these groups have their own members registered, with access to the information. As an example, in Spain the mirror committee of ISO/TC 59/SC 17 has more than 100 experts receive the document (just for Spain, one of the countries which participates). Thus, the dissemination of the information is very important.

4.3. PARTICIPATION IN MEETINGS

As a follow up of these emails and information provided, several presentations were arranged in working groups related with sustainability and resilience assessment of infrastructures, with the participation of Bryan Adey (ETH), Claudio Martani (ETH), José Díez (ERF) and Aitor Aragón (UNE).

2020-09-03. CEN/TC 350/WG 6, European working group for sustainability assessment of civil engineering works

A presentation, included, was made by Dr. Bryan Adey. It was circulated in WG 6 as N180.

The minutes of the meeting (circulated in the WG as N178), included the text shown below.





5. H2020 FORESEE Project presentation

Aitor Aragón thanks the opportunity to present the FORESEE project and outlines the topics considered ready for starting a standardization process via CWA:

3



- Flood analysis tool, with two parts. The first one provides a methodology and the second should provide criteria to consider (in the analysis) the potential changes due to climate change in the main parameters (pluviometry, soil, etc.)
- Measuring Levels of Service and Resilience in transport systems: Guidelines to measure
 and set targets for levels of service provided by, and resilience of, transport infrastructure. A
 CWA proposal should be sent to CEN in the following weeks. Bryan Adey will make a
 presentation about this topic.

Bryan Adey presents the project and the deliverables, including the definition of main elements like Level of Service and Resilience themselves. The first guideline explains how to measure, the second how to set target levels and the third provides examples. Examples from a simple case study are used. A statement about the on-going work to develop algorithms to assess the best way to plan risk reducing interventions on networks and to restore infrastructure within a networks after an event.

Figure 6. Minutes of CEN/TC 350/WG 6

2020-09-03. ISO/TC 59/SC 17/WG 5, International working group for sustainability assessment of civil engineering works

A similar presentation was made by Dr. Bryan Adey to this WG, the international *mirror* of CEN/TC 350/WG 6. It was circulated in WG 5 as N295. The minutes of the meeting (circulated in the WG as N293), included the following.





4. H2020 FORESEE Project presentation

(Document ISO/TC 59/SC 17/WG 5 N 295)

Mr Aitor Aragón thanks the opportunity to present the H2020 FORESEE project and outlines the topics considered ready for starting a standardization process via CWA (CEN-CENELEC Workshop Agreement):

- Flood analysis tool, with two parts. The first one provides a methodology and the second should provide criteria to consider (in the analysis) the potential changes due to climate change in the main parameters (pluviometry, soil, etc.)
- Measuring Levels of Service and Resilience in transport systems: Guidelines to measure and set targets for levels of service provided by, and resilience of, transport infrastructure. A CWA proposal should be sent to CEN in the following weeks. Bryan Adey will make a presentation about this topic.

Page 2/5



ISO/TC 59/SC 17/WG 5 N 293

Bryan Adey presents the project and the deliverables, including the definition of main elements like Level of Service and Resilience themselves. The first guideline explains how to measure, the second how to set target levels and the third provides examples. Examples from a simple case study are used. A statement about the on-going work to develop algorithms to assess the best way to plan risk reducing interventions on networks and to restore infrastructure within a networks after an event.

The presentation can be found as document N 295.

Further information on the project can be found here: https://foreseeproject.eu/

Figure 7. Minutes of ISO/TC 59/SC 17/WG 5

The presentation was circulated to experts, as shown in the example below (document uploaded in ISO Documents for ISO/TC 59/SC 17/WG 5 "Sustainability of civil engineering works".





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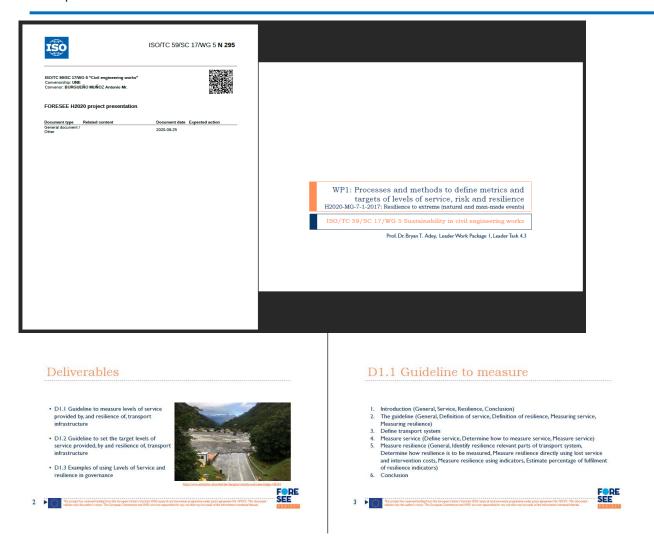


Figure 8. Example of presentation made in CEN and ISO working groups





5. CWA 17819:2021

5.1.LAUNCH OF THE WORKSHOP

As indicated in clause 3, a CEN Workshop Agreement is usually considered the best track to introduce the results of research projects in the standardisation system. 3.4 explains the process to develop a CWA.

Thus, during 2020, FORESEE members prepared a proposal for a Workshop. The proposed title for the CWA was: *Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events.* The draft Business Plan and an assessment of the proposal were sent to CEN/CENELEC in October, proposing several dates for the kick-off meeting

A consultation within <u>CEN Members</u> and in their website (public) was launched in November, 2020. It included:

- Business plan (
- Draft agenda for the kick off meeting (January, 14th)







Figure 9. Document about FORESEE and the CWA sent to CEN members and Committees

The information above was circulated, in addition to CEN members, to several technical bodies. The example below is from CEN/TC 278, intelligent transport systems.







Figure 10. Example of circulation of the kick-off meeting announcement in a CEN/TC

The Business Plan contained the main information about the proposal, including the scope, Chairman or timetable.





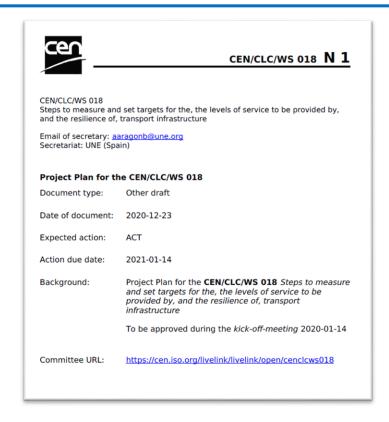


Figure 11. Main page of the Project plan of CEN/CLC/WS 018

The tentative timetable for the Workshop was:

Activities	Date
Official WS announcement and call for experts	November, 25 th , 2020
Circulation of the first draft to experts.	Before end of December, 2020
Kick-off meeting,	January, 14 th , 2021
Comments to the first draft, using CEN template	February, 15 th , 2021
Second meeting,	Before end of February, 2021
If needed, circulation of the new draft, for comments	Before end of March, 2021
If needed, third meeting for resolution of comments	April, 2021
Circulation of the final draft , based on the comments received and the feedback during the kick-off meetings, to be approved by correspondence (if possible)	Before end of June, 2021
Opening of public commenting phase	Before end of July, 2021





D8.7 Report on the contribution to Standardization

Activities	Date
Closing of public commenting phase	Before end of September, 2021
Comments analysis and implementation (by correspondence or in a meeting)	Before end of November,
Delivery of CWAs to CCMC for publication	2021

The proposed Chairperson was: Dr. Bryan T. Adey, ETH Zürich (ETHZ). The proposed Secretariat was: Aitor Aragón, Spanish Association for Standardisation (UNE), CEN/CENELEC Member.

The proposed title was: "Levels of service and resilience of transport infrastructure — Guidelines for the assessment".

The proposed scope was:

These guidelines are to be used to determine 1) how to measure, the service provided by, and the resilience of, transport infrastructure, and 2) how to set service and resilience targets of transport infrastructure. It includes:

- the concepts of how service and resilience can be measured,
- the concepts of how service and resilience targets can be set,
- the steps to determine how to measure service and resilience, and
- the steps to set service and resilience targets.

The first draft for comments was developed by Dr. Bryan T. Adey and Dr. Claudio Martani, both members of FORESEE based on the deliverables:

- <u>D1.1 Guideline to **measure** Levels of Service and resilience in infrastructures</u>
- <u>D1.2 Guideline to set **target levels** of service and resilience for infrastructures</u>

The first draft (98 pages) was circulated to the experts registered in the Workshop. The figure below shows the first page of the document (document below).







Figure 12. Main page of the first draft circulated to experts

A period for comments (to be discussed during the kick-off meeting) was established, until February, 12, with a template to organise them.

The Workshop code given by CCMC was "CEN/CLC/WS 018".

The main documents (agenda, first draft for comments, commenting template and the project plan) were circulated by the Secretariat, Aitor Aragón, to the registered experts on 2020-12-23.





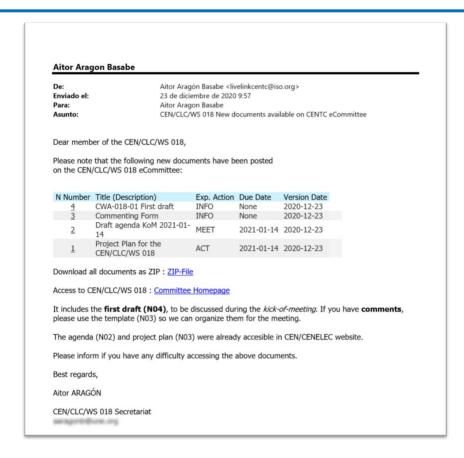


Figure 13. First email with information circulated to CEN/CLC/WS 018

This information about the kick-off meeting was also shared via social media, like Twitter or LinkedIn (see figure below).





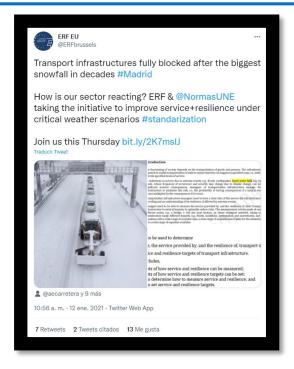


Figure 14. Example of information about the kick-off meeting in social media

5.2.MEETINGS

The kick-off meeting took place on January, 14th, 2021. The agenda, together with the report and main documents, were circulated to experts using *CEN Documents*. The image below shows the main items of the agenda.







CENELEC

CEN/CLC/WS 018

Kick-off meeting - Draft Agenda 2020-11-23

CEN/CLC/WS 018: "STEPS TO MEASURE AND SET TARGETS FOR THE, THE LEVELS OF SERVICE TO BE PROVIDED BY, AND THE RESILIENCE OF, TRANSPORT INFRASTRUCTURE"

Kick Off Meeting January 14th 2021 - 09:30 - 13:00 CET

<u>Venue</u>: Zoom online meeting:

https://cencenelec.zoom.us/j/91056341106?pwd=NWMxeEZ4YmFOWkZJa29XS0t2b0xjU

Draft agenda

# Item	Topic	Speaker/Timing
1	Opening of the meeting	CCMC
2	Roll call of participants	CCMC
3	Adoption of the Agenda	CCMC
4	Presentation of Workshop concept	CCMC
5	General presentation of the Workshop a. Background of the proposal b. Draft of CWA presented c. Expression of needs	Dr. Bryan T. Adey, (WS Chairman) Mr. Aitor Aragón (WS Secretary)
6	Official establishment of the <i>INFRA-R</i> Workshop CEN/CLC/WS 018	
6a	Appointment of Workshop Chairman and Confirmation of the Secretariat	ССМС
	15' break	11:00 - 11:15
6b	Project plan: Discussion on title and scope	WS Chairman and Sec
6c	Project Plan a. Review of comments received b. Adoption of the WS CEN/CLC/WS 018 Project Plan	WS Chairman and Sec
6d	Organisation of the work	WS Chairman and Sec
6e	Any other business	
7	Next meeting, follow-up actions and their assignment	WS Chairman and Sec
8	Closure of the meeting	WS Chairman and Sec

Figure 15. Agenda of the kick-off meeting of CEN/CLC/WS 018

The presentations from the Chairman, the Secretariat and CEN/CENELEC Management Centre (CCMC) were circulated to experts after the meeting.







20 experts from different organizations participated in the kick-off meeting (the list is included in the minutes of the meeting). In addition to the Chairman (Bryan Adey) and the Secretariat (Aitor Aragón), the following organizations were represented in the kick-off meeting:

- 1. CEN/CENELEC Management Centre (CCMC)
- 2. Fraunhofer IAIS (FHG) Germany
- 3. European Union Road Federation (ERF)
- 4. French Ministry for the Ecologic Transition (DIT/MARRN) France
- 5. Deutsche Bahn AG (DB) Germany
- 6. Gerthoffert, Jonathan (CEREMA) France
- 7. CEMOSA Spain
- 8. ETH Zürich (ETHZ) Switzerland
- 9. Public centre for studies and testing of civil engineering works (CEDEX) Spain
- 10. FORESEE project
- 11. German Center for Rail Transport Research (DZSF) Germany
- 12. European Commission, as observer

Other organizations were registered and participated in other meetings, like RINA (Italy), Tecnalia (Spain) or Bast (Germany).

During the meeting, the business plan was approved by unanimity with the following modifications:

- **Title of the Workshop:** Assessment of the resilience of transport infrastructure to potentially disruptive events
- **Title of the draft:** Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events
- Scope of the Workshop:

These guidelines are to be used to determine:

How to measure the resilience of transport infrastructure to potentially disruptive events.

How to set targets for resilience of transport infrastructure to potentially disruptive events.

It includes:

- the concepts of how resilience can be measured;
- the concepts of how resilience targets can be set;
- the steps to determine how to measure resilience; and
- the steps to set resilience targets.

A **period for comments**, until February, 15th, was established. The collated comments were circulated to all experts.





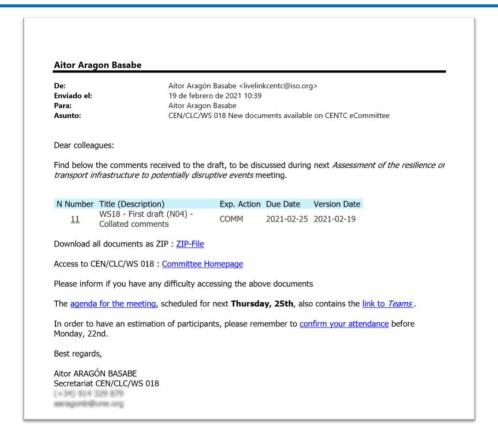


Figure 16. Circulation of the comments received to the first draft

The information about the meeting was also share in social media. An example, from the twitter account of UNE, is shown below.







Figure 17. Example of information about the kick-off meeting in social media

The **second meeting** took place the 25th of February. The agenda of the meeting, as circulated by email and uploaded in *CEN Documents*, is shown below.





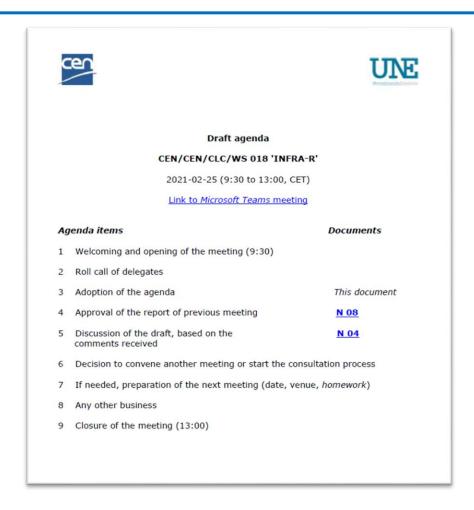


Figure 18. Agenda of the second meeting of CEN/CLC/WS 018

A new draft was discussed. The agenda and the minutes were circulated to experts, together with the result of the revision of the comments received.







Figure 19. Circulation of the minutes of the second meeting of CEN/CLC/WS 018 and the comments solved by experts

A new call for comments based on an updated draft was sent, to deal with them in the third meeting. The drafts circulated to experts had two documents, one with tracked changed and other document "clear".

The **third meeting** took place the 3^{rd} of June, with a new draft based on the result of the previous meetings.





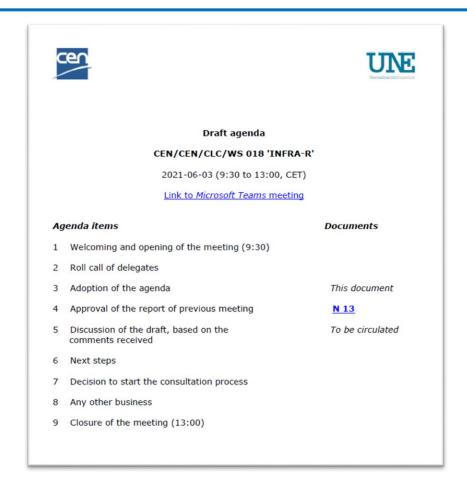


Figure 20. Agenda of the third meeting of CEN/CLC/WS 018

In this meeting, the document was reviewed again. This revision included the scope of the document, as the main discussion was about the approach for the extreme events: it was agreed to include not to restrict the scope to climate or natural hazards, but include also man-made events, like accidents or attacks.

The public consultation was approved, but before the draft was accepted by correspondence, to ensure all changes made during the meeting were included in the draft.

5.3. PUBLIC CONSULTATION

The layout of the draft was created by the editorial support team of UNE, based on the draft approved in the Workshop. This draft had 90 pages.







Figure 21. Main page of the draft for public consultation

During the public consultation period, the approved draft is distributed to CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members, relevant Technical Committees and made available to the public in CEN/CENELEC Members.







EUROPEAN STANDARDIZATION

GET INVOLVED

This WS developed the CWA (CEN-CENELEC Workshop Agreement) 'Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events'. This CWA describes a methodology for infrastructure managers to properly measure the Level of Service (LoS) provided by, and the resilience of, their transport infrastructure to natural hazards. These steps will ensure that infrastructure managers can systematically identify appropriate resilience enhancing actions and ensure the effective allocation of limited resources.

This CEN-CENELEC Workshop was initiated through the FORESEE Project, short for "Future proofing strategies FOr RESilient transport networks against Extreme Events" which is an EU collaborative research project funded by Horizon 2020. The FORESEE project develops a toolkit to improve road and rail asset management schemes for authorities and infrastructure operators. The first draft of the CWA will be based on the reports produced by this project. For further details on FORESEE, please visit the website https://foreseeproject.eu/

The WS has finalised the draft CWA 'Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events', which is hereby made available for a commenting phase of 45 days. Any interested party is invited to submit comments on the draft CWA to the WS secretary Mr Aitor Aragon Basabe (aaragonb@une.org), by 27 August 2021, using the below commenting form.

- Draft CWA 'Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events' (pdf)
- · Commenting form (word)
- Project Plan (pdf)

Figure 22. Public consultation of the draft in CEN/CENELEC website





The following email was sent to the Workshop members.

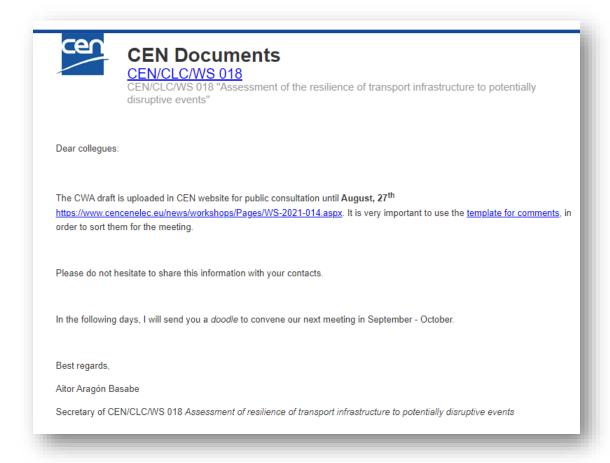


Figure 23. Information sent to CEN/CLC/WS 018 about the public consultation of the draft

For the public consultation period, to enhance participation and the dissemination of the results of FORESEE, dedicated emails were sent to some relevant TCs:

- ISO/TC 59 Buildings and civil engineering works and its WG 4 for Resilience
- ISO/TC 59/SC 17 Sustainability in buildings and civil engineering works and its WG 5 for Civil Engineering works
- ISO/TC 207 Environmental management
- ISO/TC 262 Risk management
- CEN/TC 350 Sustainability of construction works, and its WG 6 for Civil Engineering works

The following email was sent (example for ISO/TC 59 and its WG 4).





Aitor Aragon Basabe Aitor Aragon Basabe Enviado el: 27 de julio de 2021 10:12 es; kob@istandard.no Para: CC: con, Njbeand 126.com; Elena Gayo Monco Asunto: [ISO/TC 59 & WG 4] Public information of CWA covering resilience of transport infrastructures Datos adjuntos: Public consultation CWA resilience of transport infrastructures.pdf Dear Nan and Kari, Last year you receive, from my colleague Pilar Pérez, information about the developments within the FORESEE project regarding resilience of transport infrastructure. A CEN Workshop Agreement was launched and its kick-off meeting took place last January. A request for feedback, with a presentation, was circulated in TC 59 as N1530. This information will also be sent to SC 17 and, specially, its WG 5 about sustainability of civil engineering works. This emails is intended to inform experts that a draft for public consultation has been uploaded by CEN in its website: https://www.cencenelec.eu/news/workshops/Pages/WS-2021-014.aspx You can find further information in the attachment which, if find relevant, can be shared within WG 4 Please do not hesitate to contact for any information you might need. Best regards, Aitor ARAGÓN BASABE Sustainability in construction and BIM &(+34) 914 329 879 aacagonb@una.org

Figure 24. Example of email sent to CEN and ISO Technical Committees about the public consultation of the draft

Some technical bodies circulated it to all members, uploading it in CEN server, or sending an email to their members. The example below is from CEN/TC 350:







Dear members

Last year you receive, from Pilar Pérez from UNE, information about the developments within the FORESEE project regarding resilience of transport infrastructure.

A CEN Workshop Agreement was launched and its kick-off meeting took place last January. After the drafting period and several meetings, a draft for public consultation was approved last June.

The document defines a process to analyze the resilience of transport systems to disruptive events, which can be used by any organization regardless of size or extent of infrastructure. As transport can occur on infrastructure of multiple types, the measures of service and resilience are also suitable for infrastructure enabling multi-modal transport.

The document provides no guidance as to how to estimate the probability of occurrence of these events, not provides specific information on the organisational requirements to assess resilience.

This emails is intended to inform experts that a draft for public consultation has been uploaded by CEN in its website: https://www.cencenelec.eu/news/workshops/Pages/WS-2021-014.aspx.

Comments are required before 27 of August.

Best regards,

Karine Dari

Secretary of CEN/TC 350

Figure 25. Example of email sent by a CEN Technical Committee to their members about the public consultation of the draft

The figures below show the document sent by UNE with a summary covering the public consultation of the CWA.









Public information of CWA

Guidelines for the assessment of resilience of transport infrastructure to potentially disruptive events

1. Background

In 2020, the Spanish Association for Standardisation (UNE) launched a proposal for a CWA, dealing with resilience of transport infrastructures, as part of the results of the FORESEE project, funded under European Union's Horizon 2020 Research and Innovation Framework under grant agreement No 769373.

Last January, the kick-off meeting of CEN-CENELEC Workshop on Assessment of the resilience of transport infrastructure to potentially disruptive events (INFRA-R) took place, online, with 20 attendees from industry, public administrations, research bodies, universities, or industrial associations.

After the drafting period and several meetings, a draft for public consultation was approved last June.

2. The draft

The document defines a process to analyze the resilience of transport systems to disruptive events, which can be used by any organization regardless of size or extent of infrastructure. As transport can occur on infrastructure of multiple types, the measures of service and resilience are also suitable for infrastructure enabling multimodal transport.

The document provides no guidance as to how to estimate the probability of occurrence of these events, not provides specific information on the organisational requirements to assess resilience.

1/2

Figure 26. Document circulated to ISO and CEN Committees – first page







Figure 27. Document circulated to ISO and CEN Committees – second page

During the public consultation period, comments were received from organizations which were not members of the Workshop. The figure below shows the comments in the template.





D8.7 Report on the contribution to Standardization

c1	Line number (e.g. 17)	Clause/ Subclause (e.g. 3.1) 3.2, 2.5	Paragraph/ Figure/ Toble/ (e.g. Toble 1)	Type of comment ²	The term of 'resilience' is simplified due to measure the ability to continue to provide service of infrastructure, in reason of this simplification aspects are neglected. In the frame of a general resilience management concept the aspects of preparation, prevention, protection during disruptive events, respond and recover are received attention.		Proposed change Add to the consideration of resilience more aspects beside constructive and intervention aspects.		Observations of the secretariat
		3.2	Figure 1	ge			Change the vertical axis so that maximum is upwards.		
		3.2	Figure 2	ge			Change the vertical axis so that maximum is upwards.		
		32	Figure 3	ge			Change the vertical axis so that maximum is upwards:		
		3.2	Figure 4	ge	The graph is not easily understood. In the vertical axis, the maximum points downwards, when usually it points upwards.		Change the vertical axis so that maximum is upwards.		
		3.2	Figure 4	te	The gray point 'Beginning of the disruptive event' does not match the beginning of restoration time.		Move the gray point to match the start of restoration time.		
	Page 9	32	Towards end of page 9	.ge	measured. At the same time, in Figures 1, 2, 3 and 4 is inferred that residence is the area coloured in red and blue. Larger blue and green areas mean less resilient white smaller mean more recilient. If the complex were notifier.		Make simpler the definition. It could be used something such as the "Area under curve". Make more clear if both service time and cost are necessary to characterize resilience. It is logic to need both but somehow it is not that clear when reading the document.		
		6.5.2	Table 3		The different scaling of the indic good option. One indicator is pre- point scale, the other is present	esented with a 5	point scale	Re 3 is an example, maybe use a 5 - e to all indicators. Also, according to the of EU for risk assessment, the 5-point	

Figure 28. First page of the template with the comments received

This document was sent to the experts registered in the Workshop, to be considered in the next meeting.

5.4.LAST MEETING AND PUBLICATION

In the meeting convened September, 14^{th} , 2021, the comments received were assessed and, some of them, incorporated in the draft.





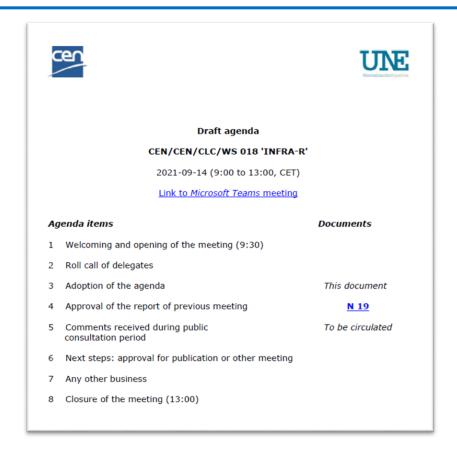


Figure 29. Agenda of the last meeting

<u>The publication of the CWA was approved</u>, once some editorial topics (for example, with the figures) were solved. A period to check the final draft for editorial issued were also given.

It was agreed to ask experts to explicitly confirm if they want to be listed, as organizations, in the Foreword of the document. The final list is included in the published CWA.

The CWA was published in November, 10th, 2021.

The CWA has a validity of 3 years. After this period, CEN/CENELEC will launch a consultation, in which the CWA can be:

- Confirmed for another 3 years period.
- Used as basis for a different document (EN, TR or TS).
- Superseded.





5.5. PUBLICATION AND DISSEMINATION

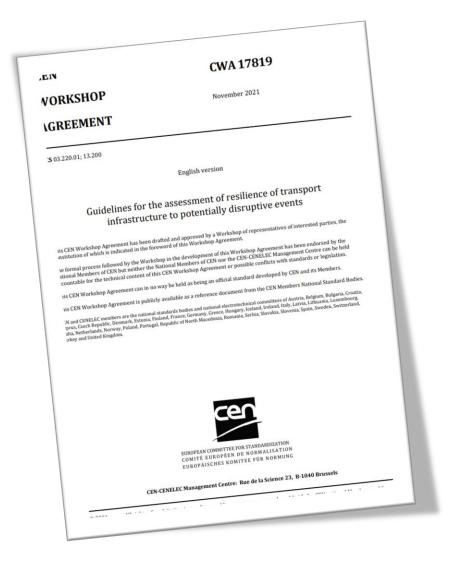


Figure 30. Main page of the CWA 17819:2021

The publication of the CWA was disseminated by FORESEE members and also by other organizations, like CEN, which published their news site: <u>A new Workshop Agreement contributes to enhancing the resilience of transport infrastructure</u>.

In addition, it was shared via social media. Some examples below.





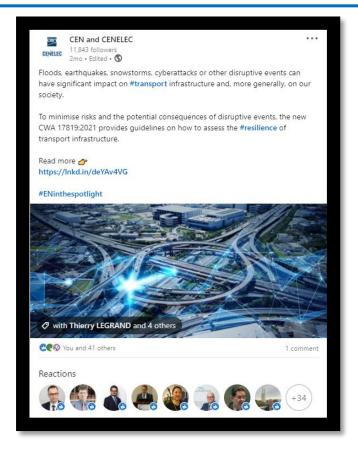


Figure 31. CEN information in Twitter about the publication of CWA 17819:2021





The article published in CEN website is shown in the figure below.



POSTED: 2021-11-22

A new Workshop Agreement contributes to enhancing the resilience of transport infrastructure

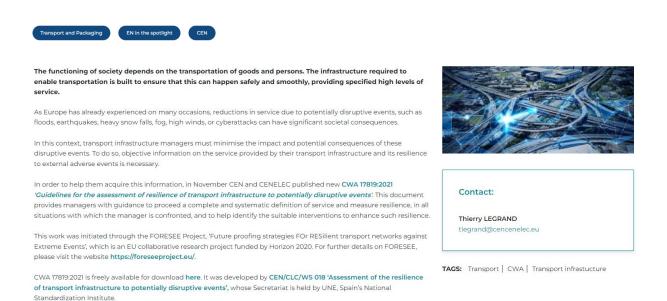


Figure 32. CEN News website, with a post about the publication of CWA 17819:2021





FORESEE also published information in social media, in FORESEE website and by partners.



Figure 33. FORESEE website, with a post about the publication of CWA 17819:2021



to potentially disruptive events"



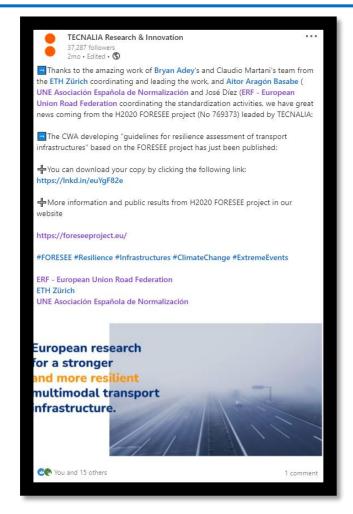


Figure 34. Example of dissemination of the publication of CWA 17819 in LinkedIn





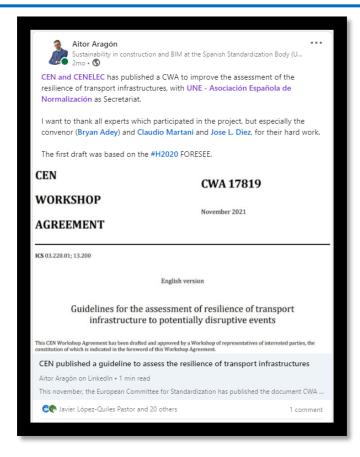


Figure 35. Example of dissemination of the publication of CWA 17819 in LinkedIn





6. OTHER DISSEMINATION ACTIVITIES

The CWA was presented also in organizations external to CEN/CENELEC or ISO. For example, a presentation was made by the Convernor, Dr. Bryan Adey, in **UNECE**. The examples below show the dissemination in social media.

More information is available in this link.

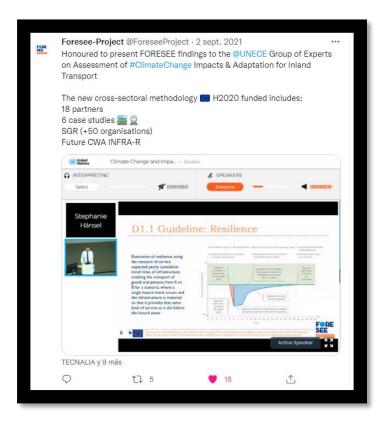


Figure 36. Example of dissemination of the participation in the UNECE meeting





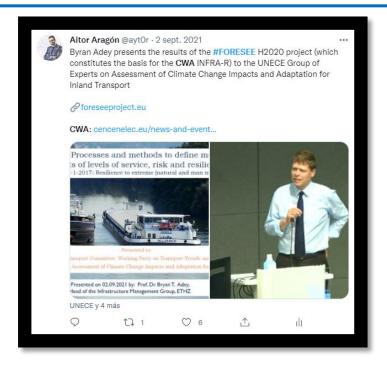


Figure 37. Example of dissemination of the participation in the UNECE meeting

In addition, it was also presented in the WG "climate change in road infrastructures" of ATC (Spanish Road Technical Association), during a meeting convened the 26 of May, 2021.

The public consultation period (see 5.3) was also sent to this group, inviting them to participate in the consultation period and, if relevant, in the Workshop process.





7. CONCLUSIONS AND FURTHER STEPS

FORESEE has successfully used the European and International standardisation system to:

- **Gather information** about the *state of the art* of the resilience assessment for transport infrastructures. This task was made by monitoring the documents issued by relevant technical bodies, participating in their meetings and sending requests for feedback (see 0).
- **Disseminate the results** of FORESEE to the standardisation community, which includes industry, public administrations and research bodies. This task has been made via presentations in standardisation bodies, distribution of documentation and the <u>publication of a CEN Workshop Agreement (CWA)</u> (see 0), and was based on the assessment of the information gathered before. This dissemination should facilitate the acceptance and utilisation by the market of the solutions developed by FORESEE.

The availability of a European standardisation document, such as the **CWA 17819:2021**, is a success of the FORESEE providing the roadmap for future harmonization in the field of resilience of transport infrastructure towards extreme manmade and nature events. It makes publicly available part of the results of the project and can be used in by private and public organizations.

A CEN/CENELEC reference ensures trust by the market and dissemination via its 34 National Members (see the list in 3.1) and facilitates the citation in private or public procurement in line with the current regulatory framework and its future revision (TEN-T Regulation and Public Procurement Directive).

A common methodology to assess resilience in Europe will provide several advantages, including the comparability and the cost reduction of the assessment. To achieve this goal, the next step is the actual use of the methodology gathered in CWA 17819 by industry and transport infrastructure owners. Based on that experience, a different type of standardisation document can be made. As first step, a Technical Specification can be considered or, if the use of the methodology covers enough countries and is considered "the standard process", a EN standard can be developed.

The broad approach of the FORESEE EU Project and its Stakeholders Reference Group gathering relevant organisations from private sector and public transport authorities representing both roads and railways will facilitate a further promotion of the new CWA 17819:2021 in terms of dissemination and future market deployment in actual transport infrastructures.

To conclude, the new CWA 17819:2021 has proven to be a successful story stressing the importance of R&I funding programmes at EU level to overcome current challenges in a critical sector (i.e. transport infrastructure) that will be key for the economic recovery and social cohesion while promoting competitiveness of industrial solutions made in Europe in the world.

